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FORM PTO-1082

81868.0017
Express Mail Label No. EL 589 806 173 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE and Hiroshi SUGIHARA both of Nagano, Japan

Serial No: Not assigned

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND METHOD OF
MANUFACTURING THE SAMEJC922 U.S. PTO
09/692778
10/19/00

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

 Customer Number

000026021



Transmitted herewith for filing is the patent application identified above.

- 5 sheet(s) of drawings (formal informal) is(are) enclosed.
- 28 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. is enclosed will follow.
- An associate power of attorney is enclosed will follow.
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- Declaration and Power of Attorney is enclosed will follow.
- A certified copy of Japanese Patent Application No. 11-299009 filed October 21, 1999 from which priority is claimed under 35 U.S.C. § 119 will follow.
- IDS enclosed (with reference(s)):
- Preliminary Amendment is enclosed.
- Return postcard is enclosed.

26021

PATENT TRADEMARK OFFICE

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	25	-20	5	LG=\$18 SM=\$9	\$18	90	
B INDEPENDENT CLAIMS FEE	4	-3	1	LG=\$80 SM=\$40	\$80	80	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							170
D MULTIPLE-DEPENDENT CLAIMS FEE							LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135 \$ 0
E BASIC FEE							LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355 \$ 710
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 880
G ASSIGNMENT RECORDING FEE						\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 10, 16, 21.							

- Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- A check in the amount of \$ 0 to cover the filing fee is enclosed.
- A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- Any additional filing fees required under 37 C.F.R. 1.16
- Any patent application processing fees under 37 C.F.R. 1.17

Date: October 19, 2000

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Respectfully submitted,
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William H. Wright
Registration No. 36312
Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE et al.

Serial No: Not assigned

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND METHOD OF
MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

JC922 U.S. PTO
09/692778
10/19/00



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Date of Deposit: October 19, 2000

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- patent application (28 page(s) of specification; 25 claim(s); 1 page(s) of abstract
- 5 sheet(s) of informal drawings
- certified copy of Japanese patent application No. 11-299009 which was filed October 21, 1999 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: October 19, 2000

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James Hernandez

Name of person mailing papers



Signature